

# SINGULARITIES

Presented by David Massey; May 4, 2005.

Notes taken by Justin Brown

Question: How are we to describe topological spaces?

- To begin:
  - We understand  $\mathbb{R}^n$ .
  - We understand manifolds because they are locally Euclidean.
  - We understand submanifolds of  $\mathbb{R}^n$ .
  - Example: A “cusp” in  $\mathbb{R}^2$ , given by  $y^2 = x^3$ , is “topologically” a submanifold of  $\mathbb{R}^2$ . However, it’s not smooth.
  - We’ve examined these submanifolds since ninth grade, under the name conic sections.
- Definition: Given  $f$ , some polynomial function of  $\underline{x} := (x_1, \dots, x_n)$ , an Algebraic Hypersurface is  $V(f) = f^{-1}(0)$ , if the dimension is one less than the ambient dimension.
  - The Implicit Function Theorem tells us that, given  $U \subseteq \mathbb{R}^n$  open,  $f : U \rightarrow \mathbb{R}$ , and  $p \in U$ ; if  $p$  is not a critical point of  $f$ , then  $f^{-1}(f(p))$  is a smooth  $(n - 1)$  dimensional submanifold of  $U$  near  $p$ . (Note that a critical point is one where  $\frac{\partial f}{\partial \underline{x}}(p) = 0$ .)
  - It is when  $f$  has critical points that one gets interesting local topology. For example,  $f = xy$ , where  $V(f)$  is the coordinate axes, and there exists one critical point, the origin. (The origin is a singularity of  $V(f)$ .) In this example, our hypersurface is not smooth.

We have a problem in that the real numbers don’t behave well. For example, take  $f = x^2 + y^2$ , where  $V(f)$  has dimension 0, as opposed to 1, as one would desire or expect. A possibly more troubling example occurs for  $g = y^2 - zx^2$ , because  $V(g)$  has a 1-dimensional piece and a 2-dimensional piece; i.e. it’s not pure-dimensional.

Consequently, let’s move to complex analytic functions, with  $U$  an open subset of  $\mathbb{C}^{n+1}$ , and  $f : (U, \underline{0}) \rightarrow (\mathbb{C}, \underline{0})$  such that  $f \not\equiv 0$ . In this setting,  $V(f)$  is purely  $n$ -dimensional, i.e., there exists an open, dense subset of  $V(f)$  which is a (complex analytic)  $n$ -submanifold of  $U$ . We’ll refer to those points which are not singularities of  $V(f)$  as **smooth points** of  $V(f)$ .

- Example 1: Let  $f = xy$ , and consider  $V(f)$  in  $\mathbb{C}^2$ . Take a small ball around the origin,  $B_\epsilon = \{z \mid |z| \leq \epsilon\}$  and a small sphere,  $S_\epsilon = \partial B_\epsilon = \{z \mid |z| = \epsilon\}$ .
- Theorem:  $(B_\epsilon, B_\epsilon \cap V(f), p) \cong \text{cone}(S_\epsilon, S_\epsilon \cap V(f))$ . (The cone point is the third element on the right side.)

So, the embedding of  $S_\epsilon \cap V(f)$  into  $S_\epsilon$  completely describes the local ambient topology.

- Definition:  $K = S_\epsilon \cap V(f)$  is the **real link** of  $V(f)$  at  $\underline{0}$ .
  - Return to Example 1. Then,  $S_\epsilon = S^3$  and  $K = S^1 \cup S^1 \hookrightarrow S^3$ . These two circles are linked in  $S^3$ ; this is called the Hopf Link.
  - Example 2:  $f = y^2 - x^3$ . In this case,  $K$  is a trefoil knot in  $S^3$ .
  - Example 3:  $V(2xy - z^2) \subseteq \mathbb{C}^3$ . Using the substitution  $x = s^2$ ,  $y = t^2$ ,  $z = \sqrt{2}st$ , one can see that you get the same point  $(x, y, z)$  for  $(s, t)$  and  $(-s, -t)$ . Further,  $|x|^2 + |y|^2 + |z|^2 = (|s| + |t|)^2$ ; thus  $K \cong \mathbb{RP}^3$ . As an exercise, show that this is not a topological manifold.  $B_\epsilon \cap V(f) \cong \text{cone}(\mathbb{RP}^3)$ .

To further understand this embedding of  $S_\epsilon \cap V(f)$  in  $S_\epsilon$ , it is extremely useful to examine it's compliment,  $S_\epsilon \setminus K$ .

- Theorem (Milnor, 1968):  $\frac{f}{|f|} : S_\epsilon \setminus K \rightarrow S^1$  is a smooth, locally trivial fibration.

This means that over each  $v \in S^1$ ,  $\left(\frac{f}{|f|}\right)^{-1}(v)$  is diffeomorphic to a fixed space  $F_{f,\underline{0}}$ , and there exists a diffeomorphism  $h : F_{f,\underline{0}} \rightarrow F_{f,\underline{0}}$  (called a characteristic diffeomorphism of the fibration) such that  $\frac{f}{|f|} : S_\epsilon \setminus K \rightarrow S^1$  is diffeomorphic to

$$\frac{F_{f,\underline{0}} \times [0, 1]}{(x, 0) \sim (h(x), 1)} \rightarrow \frac{[0, 1]}{0 \sim 1}.$$

The map  $h$  induces an automorphism,  $h^*$ , on  $H^*(F_{f,\underline{0}})$ , called the monodromy automorphism. Although the homeomorphism  $h$  is not necessarily unique, the induced  $h^*$  is unique.

- Definition:  $F_{f,\underline{0}}$  is the Milnor Fiber of  $f$  at  $\underline{0}$ , and the fibration is the Milnor Fibration.

The Milnor Fibration yields important information about  $S_\epsilon \setminus K$ ; in particular, we have the homotopy long exact sequence of a fibration:

$$\dots \rightarrow \pi_i(F_{f,\underline{0}}) \rightarrow \pi_i(S_\epsilon \setminus K) \rightarrow \pi_i(S^1) \rightarrow \pi_{i-1}(F_{f,\underline{0}}) \rightarrow \dots$$

and the cohomological Wang Sequence:

$$\dots \rightarrow H^i(S_\epsilon \setminus V(f)) \rightarrow H^i(F_{f,\underline{0}}) \xrightarrow{id-h^*} H^i(F_{f,\underline{0}}) \rightarrow H^{i+1}(S_\epsilon \setminus V(f)) \rightarrow \dots$$

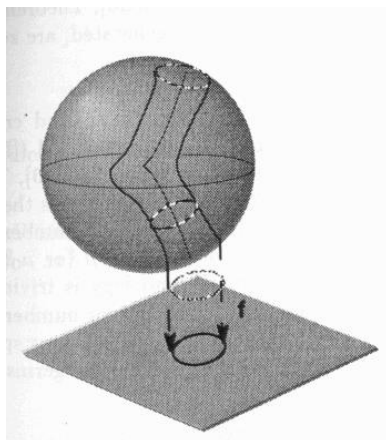
Let  $\partial\mathbb{D}_\eta$  denote the boundary of the closed disc of radius  $\eta$  around the origin of  $\mathbb{C}$ . Then

$$\frac{f}{|f|} : S_\epsilon \setminus K \rightarrow S^1$$

is homeomorphic to

$$f : \overset{\circ}{B}_\epsilon \cap f^{-1}(\partial\mathbb{D}_\eta) \rightarrow \partial\mathbb{D}_\eta.$$

This last characterization gives us a “Milnor Tube,” so to speak, artistically represented here.<sup>1</sup>



- Facts:  $F_{f,\underline{0}}$  has the homotopy type of a finite  $n$ -dimensional CW complex. It is a real,  $2n$ -dimensional smooth manifold. In particular,  $H_i(F_{f,\underline{0}}) = 0$  if  $i > n$ , and  $H_n(F_{f,\underline{0}})$  is free Abelian.

Let  $s := \dim_{\mathbb{0}} \Sigma f$ , the dimension of the critical locus of  $f$  at  $\underline{0}$ . Then  $F_{f,\underline{0}}$  is  $(n - s - 1)$ -connected. Now, for  $s = 0$ ,  $F_{f,\underline{0}}$  is  $(n - 1)$ -connected and so  $F_{f,\underline{0}} \simeq_h \bigvee_{\mu} S^n$  where  $\mu$  is defined to be the Milnor number of  $f$  at  $\underline{0}$ .

- Theorem:

$$\mu_0(f) = \dim_{\mathbb{C}} \frac{\mathbb{C}\{z\}}{\left\langle \frac{\partial f}{\partial z_0}, \dots, \frac{\partial f}{\partial z_n} \right\rangle}$$

where  $\mathbb{C}\{z\}$  is the ring of convergent power series at  $\underline{0}$ .

- Example: For  $f = y^2 - x^3$ ,  $\mu_0(f) = 2$  because  $\frac{\mathbb{C}\{x,y\}}{\langle -3x^2, 2y \rangle} \cong \frac{\mathbb{C}\{x\}}{\langle x^2 \rangle}$ , which has  $[1]$  and  $[x]$  as a basis. On the other hand, for  $f = y^2 - x^3 - x^2$ ,  $\mu_0(f) = 1$ . In a family, the constancy of  $\mu$  implies constant topological type.

Wrap up: Suppose  $s = 1$ , a situation called a “non-isolated singularity.” It could have non-trivial  $H^{n-1}(F_{f,\underline{p}})$  and  $H^n(F_{f,\underline{p}})$ , for all  $\underline{p} \in \Sigma f$ . The question to ask is: Does data at a nearby point  $\underline{p}$  have any implication for  $H^*(F_{f,\underline{0}})$ ? The answer is yes, and the tool we have at our disposal is a sheaf, a device for encoding how local data patches together to give global data. Here, we need a constructible complex of sheaves of  $\mathbb{Z}$ -modules, to see how nearby Milnor Fibers affect Milnor Fibers at the origin.

---

<sup>1</sup>“The Milnor Fibration Inside a Ball” from page 1 of D. Massey, *Lê Cycles and Hypersurface Singularities*, Springer-Verlag 1995.